IAP3 Reg'd PCT/PT9 09 FEB 2006

PTO-1390 (Rev. 02-2005)

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TRANSMITTAL LETTER 1	ATTORNEY'S DOCKET NUMBER 39650									
DESIGNATED/ELECTE	U.S. APPLICATON (Inches 32 CER 1.5)									
CONCERNING A SUBMISS	ION UNDER 35 U.S.C. 371	TBD 10/50/8/8								
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE 08/09/2004	PRIORITY DATE CLAIMED								
PCT/JP2004/013401 TITLE OF INVENTION	11/09/2003									
INFORMATION STORAGE DEVICE AND INFORMATION PROCESSING DEVICE										
APPLIÇANT(S) FOR DO/EO/US										
NAKANISHI, Yoshiaki; KIKUCHI, Takafumi; TAKAGI, Yoshihiko										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. This is a FIRST submission of items	✓ This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2. This is a SECOND or SUBSEQUEN	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.										
4. The US has been elected (Article 31	).									
5. A copy of the International Applica	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
a. is attached hereto (requi	a. is attached hereto (required only if not communicated by the International Bureau).									
b. 🗹 has been communicated by the International Bureau.										
c. is not required, as the ap	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. An English language translation of	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
a. is attached hereto.										
	mitted under 35 U.S.C. 154(d)(4).									
7. Amendments to the claims of the	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
a. are attached hereto (re	a. are attached hereto (required only if not communicated by the International Bureau).									
b. have been communicate	b. have been communicated by the International Bureau.									
' c. have not been made; h	c. have not been made; however, the time limit for making such amendments has NOT expired.									
d. Mave not been made a	d will not be made.									
8. An English language translation of	f the amendments to the claims under PCT A	rticle 19 (35 U.S.C. 371(c)(3)).								
9. An oath or declaration of the inver	tor(s) (35 U.S.C. 371(c)(4)).									
An English language translation of Article 36 (35 U.S.C. 371(c)(5)).	the annexes of the International Preliminary I	Examination Report under PCT								
Items 11 to 20 below concern documer	t(s) or information included:									
. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. and Form PTO-1449										

20. Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

A preliminary amendment.

A substitute specification.

16. 🗸

19.

An Application Data Sheet under 37 CFR 1.76.

A power of attorney and/or change of address letter.

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Certificate of Express Mail: Copy of International Search Report: Copy of publication cited in specification; Return Receipt Postcard

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U.S. APPLICATION NO. Mikkowin, see 37 CFR7. INTERNATIONAL APPLICATION NO.				ATTORNEY'S DOCKET NUMBER				
TBD PCT/JP2004/013401				39650				
The following fees have been submitted						CALCULATIONS	PTO USE ONLY	
21. 📝 Bas	21. A Basic national fee					\$ 300.00		
22.  Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PC Article 33(1)-(4)						\$ 000.00		
All other situatio	ns				\$200	200.00		
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 400.00			
All other situations\$500								
TOTAL OF 21, 22 and 23 =  Additional fee for specification and drawings filed in paper over 100 sheets (excluding						\$ 900.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Ext			ch additional 50 or fraction up to a whole number)	RATE			
46 - 100 =	0	/50 =	0		x \$250	\$ 0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).						\$ 0.00		
CLAIMS		NUMB	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims		11	- 20 =	0	x \$ 50	\$ 0.00		
Independent clai	ms	3	- 3 =	0	x \$200	\$ 0.00		
MULTIPLE DEPI	ENDE	NT CLAIM(S	i) (if applicable)		+ \$360	\$ 0.00		
TOTAL OF ABOVE CALCULATIONS =					\$ 900.00			
Applicant cla	aims sr	mall entity st	atus. See 37 CF	R 1.27. Fees above are reduc	ed by 1/2.	0.00		
					SUBTOTAL =	\$ 900.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$ 0.00			
TOTAL NATIONAL FEE =					\$ 900.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$ 0.00		
TOTAL FEES ENCLOSED =						\$ 900.00		
						Amount to be refunded:	\$	
						Amount to be charged:	\$	
a. A check in the amount of \$ 900.00 to cover the above fees is enclosed.								
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0820 A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37,CFR 1.137(a) or b) must be filed								
and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:								
Customer Number: 052054  SIGNATURE  Jeffrey J. Sop						" All		
	NAME							
27676 REGISTRATI						ON NUMBER		

## IAP5 Rec'd PCT/PTO 09 FEB 2006

## **CERTIFICATE OF EXPRESS MAIL**

10/567878

Appl. No.

: TBD

**Applicant** 

Yoshiaki Nakanishi et al.

Filed

Herewith

Title

"INFORMATION STORAGE DEVICE AND INFORMATION

PROCESSING DEVICE"

Confirmation No.

TBD

TC/A.U.

TBD

Examiner

TBD

International App. No. :

PCT/JP2004/013401

International Flg. Date:

September 8, 2004

Customer No.

052054

Docket No.

39650

"Express Mail" mailing label number <u>EV 739047277 US</u>

Date of Deposit February 9, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Virginia Naughton

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